

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**D-155**

In Re Application Of: **MICHAEL D. BRADFIELD**

Serial No.  
**NYA**

Filing Date  
**11/14/2003**

Examiner  
**NYA**

Group Art Unit  
**NYA**

Title: **TWIN COIL CLAW POLE ROTOR WITH STATOR PHASE SHIFTING FOR  
ELECTRICAL MACHINE**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.

- ☐ Charge the amount of \_\_\_\_\_
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Signature

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Dated: **November 14, 2003**

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

D-155

Application Number

NYA

Applicant(s)

MICHAEL D. BRADFIELD

Filing Date

N vember 14, 2003

Group Art Unit

NYA

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER    | DATE       | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|--------------------|------------|------------------|-------|----------|-------------------------------|
|                      | 1   | 4,201,930          | 05/06/1980 | Inagaki et al.   | 310   | 51       | 07/07/1978                    |
|                      | 2   | US 6,509,660 B1    | 01/21/2003 | Asao             | 310   | 63       | 11/14/2000                    |
|                      | 3   | US 2003/0006667 A1 | 01/09/2003 | Buening et al.   | 310   | 257      | 07/06/2001                    |
|                      | 4   | US 2003/0057789 A1 | 03/27/2003 | Buening et al.   | 310   | 179      | 09/21/2001                    |
|                      | 5   | US 2003/0107287 A1 | 06/12/2003 | Nishimura et al. | 310   | 180      | 12/04/2002                    |
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|                      |     |                    |            |                  |       |          |                               |
|                      |     |                    |            |                  |       |          |                               |
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|                      |     |                    |            |                  |       |          |                               |
|                      |     |                    |            |                  |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
|  |     |                 |      |         |       |          | YES         | NO |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
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|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.